PTO/SB/08a (01-08) Approved for use through 04/30/2008, OMB 0651-0031

Bernd Rudiger STOBER

10/594.092

09/25/2006

(A) " - E/	U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMER
nder the Paperwork Reduction Ast of 1995, no person of require	ed to respond to a collection of information unless it contains a valid OMB control num
Substitute for form 1449A/PTO	Complete if Known

Filing Date

Application Number

First Named Inventor

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary) Sheet

2851 2875 Art Unit Truong Bao Examiner Name Attorney Docket Number W1.2258 PCT-US

U. S. PATENT DOCUMENTS Examine Document Number Publication Date MM-DD-YYYY Name of Patentee or Pages, Columns, Lines, Where Initials* Applicant of Cited Document Relevant Passages or Relevant Figures Appear Number-Kind Code^{2 (F known)} US- 2006/0001924 01/05/2006 Tatarczyk US-HS-US-USus-US-US-USus-US-US-US-US-USus-US-US-

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	⊤ 6
muais	NO.	Country C	ode ³ 'Number ⁴ 'Kind Code ⁵ (if known)	WIW-DD-1717	Applicant or Cited Document	or Relevant Figures Appear	Ľ
	11	DE	195 11 782 C2	10/02/1996	Kurandt		
	2	DE	10 2004 003 613	08/18/2005	Tatarczyk		
	Ī						

Examiner Signature	/Bao Truong/	Date Considered	11/17/2009

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.usplo.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/Salosis (or 1.6)

Approved for use through 0.40/2008. Mol post-10-31

U.S. Patent and Triansk Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reducing Act of 1995, no shorts are required to respond to a collection of information unless its contains a wild office trorile un-their

	100		37			
Substitute for form 1449B/PTO		Complete if Known				
				Application Number	10/594,092	
INFORMATION DISCLOSURE			SURE	Filing Date	09/25/2006	
STATEMENT BY APPLICANT		First Named Inventor	Bernd Rudiger STOBER			
				Art Unit	2851 2875	
(Use as many sheets as necessary)		Examiner Name	Truong Bao			
Sheet	1	of	l i	Attorney Docket Number	W1.2258 PCT-US	

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
	1	"Secondary Optics Design Considerations for SuperFlux LEDs," D5, Application Brief AB 20-5, Lumileds, San Jose, CA September 2002				
		·				

Examiner /Bao Truong/	Date Considered	11/17/2009
-----------------------	--------------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applican's unique clustion designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of Information is required by 37 CFR 1,19 and 1,58. The information is required to Option or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 38 U.S. 1,22 and 37 CFR 1,14. This collection is estimated to take 2 hours to complete including gathering preparing, and submitting the completed application from the USPTO. There will vary depending upon the infolducious Arry comments in the USPTO. The will vary depending upon the infolducious Arry comments are the process of the USPTO. The variety of the USPTO

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.